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| | |
|----------------------|-------------------|
| Application Number | 10/763,692 |
| Filing Date | January 22, 2004 |
| First Named Inventor | Giulia Kennedy |
| Art Unit | 1642 |
| Examiner Name | Minh Tam B. Davis |

Total Number of Pages in This Submission

14

Attorney Docket Number

20366-111002

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☒ Power of Attorney, Revocation
Change of Correspondence
Address (2 pages)

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board
of Appeals and interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below):

Statement Under 37 CFR Sec. 3.73(b)
(with Table A; 4 pages);
Copy of Assignment from application Serial
No. 10/763,692 (8 pages); and,
Return postcard.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name Fish & Richardson P.C.

Signature

Printed Name Gwilym J. O. Attwell, Reg. No. 45,449

Date

10/25/2006

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Date

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**POWER OF ATTORNEY BY ASSIGNEE WITH REVOCATION AND
CHANGE OF CORRESPONDENCE ADDRESS**

I, the below-named representative, hereby appoint:

☒ Practitioners at Customer Number 65,484 as our attorney(s) or agent(s) to prosecute the applications identified in the attached Table A, and to transact all business in the United States Patent and Trademark Office connected with said applications.

Address all telephone calls and correspondence to:

**Julia R. Rosenthal
Chiron Corporation
Intellectual Property
P. O. Box 8097
Emeryville, CA 94662-2916
Telephone: (510) 923-3949
Fax: (510)-655-3542**

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I am a representative of the:

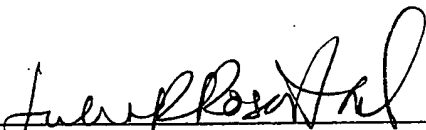
☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. (A statement under 37 CFR 3.73(b) is enclosed).

Respectfully submitted,

CHIRON CORPORATION

Date: 10/18/06

By: 
Name: Julia R. Rosenthal
Title: Corporate Patent Counsel



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Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR §3.73(b)

Under 37 CFR §3.73(b) CHIRON CORPORATION, certifies that it is the assignee of the entire right, title and interest in the patent applications identified in Table A which is attached hereto.

The extent (by percentage) of its ownership is 100% in the patent applications identified in Table A. Copies of the pertinent assignments from the inventors(s) of the patent applications identified in Table A are enclosed herewith.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

Respectfully submitted,

CHIRON CORPORATION

Date: 10/18/06

By: Julia R. Rosenthal
Name: Julia R. Rosenthal
Title: Corporate Patent Counsel

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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October 25, 2006
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Gwynn Attwell
Signature
Gwynn Attwell
Typed or Printed Name of Person Signing Certificate



Table A

| Assignee of Record | Serial No./ Docket No. | Filing Date | Inventor(s) | Examiner | Art Unit | Title |
|--------------------|---|-------------|--------------------------|------------------------|----------|--|
| Chiron Corporation | 10/698,959 PP-01544.104 20366-108002 | 10/30/2003 | Hamiduddin Khoja, et al. | Shulamith H. Shafer | 1647 | ISOLATED VSHK-1 RECEPTOR POLYPEPTIDES AND METHODS OF USE THEREOF |
| Chiron Corporation | 09/490,818 PP001552.0002 20366-109001 | 1/25/2000 | Giulia Kennedy | Gary B. Nickol | 1642 | POLYNUCLEOTIDES RELATED TO PANCREATIC DISEASE |
| Chiron Corporation | 09/570,593 PP01556.002 20366-115001 | 5/12/2000 | Joerg Kaufmann, et al. | Sally A. Sakelaris | 1634 | METHODS FOR DETERMINING METASTATIC POTENTIAL OF BREAST CANCER CELLS BY DETECTING GSEF GENE PRODUCT EXPRESSION |
| Chiron Corporation | 09/626,301 PP001575.0003 20366-111001 | 7/25/2000 | Giulia Kennedy | Minh Tam B. Davis | 1642 | POLYNUCLEOTIDES DIFFERENTIALLY EXPRESSED IN ADENOCARCINOMAS, POLYPEPTIDES ENCODED THEREBY, AND METHODS OF USE THEREOF |
| Chiron Corporation | 10/763,692 PP001575.0005 20366-111002 | 1/22/2004 | Giulia Kennedy | Minh Tam B. Davis | 1642 | POLYNUCLEOTIDES DIFFERENTIALLY EXPRESSED IN ADENOCARCINOMAS, POLYPEPTIDES ENCODED THEREBY, AND METHODS OF USE THEREOF |

| Assignee of Record | Serial No./ Docket No. | Filing Date | Inventor(s) | Examiner | Art Unit | Title |
|--------------------|---|-------------|-----------------------------|--------------------|----------|---|
| Chiron Corporation | 10/616,900 PP015805.0004 20366-116002 | 7/9/2003 | Jaime Escobedo, et al. | Unknown | 1631 | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS COLON CANCER CELLS AND THEIR METHODS OF USE |
| Chiron Corporation | 10/081,119 PP016932.0002 20366-122003 | 2/21/2002 | Christoph Reinhard, et al. | Tracy Ann Vivemore | 1635 | TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER |
| Chiron Corporation | 10/951,406 PP016932.0004 20366-122002 | 9/27/2004 | Christoph Reinhard, et al. | Tracy Ann Vivemore | 1635 | TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER |
| Chiron Corporation | 10/951,389 PP016932.0015 20366-122001 | 9/27/2004 | Christoph Reinhard, et al. | Tracy Ann Vivemore | 1635 | TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER |
| Chiron Corporation | 10/951,477 PP016932.0016 20366-122004 | 9/27/2004 | Christoph Reinhard, et al. | Tracy Ann Vivemore | 1635 | TTK IN DIAGNOSIS AND AS A THERAPEUTIC TARGET IN CANCER |
| Chiron Corporation | 10/501,187 PP017767.0004 20366-124US1 | 1/13/2006 | Rhonda Hansen | Unknown | 1645 | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS BREAST CELLS AND THEIR METHODS OF USE |
| Chiron Corporation | 10/310,673 PP018095.0002 20366-123001 | 12/4/2002 | Pablo-Albert Garcia, et al. | Laura B. Goddard | 1645 | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS PROSTATE CELLS AND THEIR METHODS OF USE |

| Assignee of Record | Serial No./ Docket No. | Filing Date | Inventor(s) | Examiner | Art Unit | Title |
|--------------------|---|-------------|----------------------------|----------|----------|--|
| Chiron Corporation | 10/934,842 PP021985.0001 20366-131001 | 9/2/2004 | Elizabeth Scott, et al. | Unknown | 1645 | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS CELLS |
| Chiron Corporation | 10/977,087 PP021986.0001 20366-134001 | 10/28/2004 | Christoph Reinhard, et al. | Unknown | 1635 | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS CELLS AND THEIR METHODS OF USE V |
| Chiron Corporation | 10/948,737 PP021987.0001 20366-133001 | 9/22/2004 | Vivien W. Chan, et al. | Unknown | 1635 | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS CELLS III |
| Chiron Corporation | 60/345,637 PP017767.001 20366-124P01 | 4/24/2003 | Rhonda Hansen | N/A | N/A | GENE PRODUCTS DIFFERENTIALLY EXPRESSED IN CANCEROUS BREAST CELLS AND THEIR METHODS OF USE |



2300-1575000

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 16, 2005

PTAS

BOZICEVIC, FIELD & FRANCIS LLP
JAMES S. KEDDIE, PH. D.
200 MIDDLEFIELD ROAD, SUITE 200
MENLO PARK, CA 94025

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RECORDATION DATE: 01/22/2004

REEL/FRAME: 014950/0721
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KENNEDY, GIULIA C.

DOC DATE: 07/24/2000

ASSIGNEE:

CHIRON CORPORATION
P.O. BOX 8097
INTELLECTUAL PROPERTY-R440
EMERYVILLE, CALIFORNIA 94608-8097

SERIAL NUMBER: 10763692

FILING DATE: 01/22/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: POLYNUCLEOTIDES DIFFERENTIALLY EXPRESSED IN ADENOCARCINOMAS,
POLYPEPTIDES ENCODED THEREBY, AND METHODS OF USE THEREOF

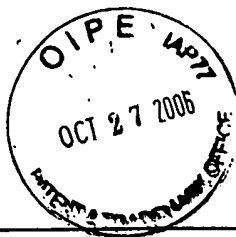
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102664775A

BOZICEVIC, FIELD & FRANCIS LLP
JAMES S. KEDDIE, PH. D.
200 MIDDLEFIELD ROAD, SUITE 200
MENLO PARK, CA 94025

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CHIRON CORPORATION
P.O. BOX 8097
INTELLECTUAL PROPERTY-R440
EMERYVILLE, CALIFORNIA 94608-8097

SERIAL NUMBER: 10763692
PATENT NUMBER:
TITLE:

FILING DATE: 01/22/2004
ISSUE DATE:

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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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102830150

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Atty Docket No.: 2300-1575CON

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

KENNEDY, GIULIA C.

9-2-04

2. Name and address of receiving party(ies)

Name: **CHIRON CORPORATION**Internal Address: **Intellectual Property-R440**Street Address: **P.O. Box 8097**City: **Emeryville**State: **California**Zip: **94608-8097**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other **Corrective Recodation: Please add the filing date of the application, 01/22/2004.**Execution Date: **07/24/2000.**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/763,692, 01/22/2004

B. Patent Registration No.(s)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Bozicevic, Field & Francis LLP**Internal Address: **200 Middlefield Road, Suite 200**City: **Menlo Park** State: **California** Zip: **94025**6. Total number of applications and patents involved ☒7. Total fee (37 CFR 3.41)..... **\$40 (previously paid)**☒ No fee necessary-PTO error☒ Authorized to be charged to deposit account

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James S. Keddie, Ph.D., Reg# 48,920

08/30/2004

Name of Person Signing

Signature

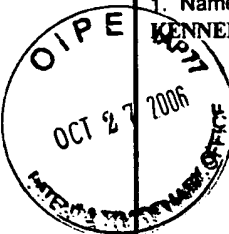
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OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Atty Docket No.: PP01575.005



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KENNEDY, GIULIA C.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **CHIRON CORPORATION**

Internal
Address:

INTELLECTUAL PROPERTY-R440

Street Address: **P.O. BOX 8097**

City: **EMERYVILLE**

State: **CALIFORNIA**

Zip: **94608-8097**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **07/24/2000**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **January 22, 2004**.

A. Patent Application No.(s)

10763692

B. Patent Registration No.(s)

1-22-04

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Bozicevic, Field & Francis LLP**

Internal Address: **200 Middlefield Road, Suite 200**

City: **Menlo Park** State: **California** Zip: **94025**

6. Total number of applications and patents involved **1**

7. Total fee (37 CFR 3.41)..... **\$40**

- ☐ Enclosed
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James S. Keddie, Ph.D., Reg# 48,920

01/22/04

Name of Person Signing

Signature

Date

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2/06/2004 ELONPER 00000184 500815 10763692

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Atty Docket No.: 1575.003

ASSIGNMENT

WHEREAS, I, Giulia C. Kennedy, hereinafter referred to as *ASSIGNOR*, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent: Title of Invention: *Polynucleotides Differentially Expressed in Adenocarcinomas, Polypeptides Encoded Thereby, and Methods of Use Thereof*

Date(s) of Execution:

Serial No: N/A

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Filing Date: Herewith

WHEREAS, *CHIRON CORPORATION*, 4560 Horton Street, Emeryville, California 94608-2916, a corporation of the State of Delaware, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT AMY CONCERN Be it known that, for and in consideration of the sum of One" Dollar (\$ 1.00) lawful money paid to *ASSIGNOR* by *ASSIGNEE*, receipt of which is hereby acknowledged, *ASSIGNOR* has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said *ASSIGNEE*, and *ASSIGNEE'S* successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. § 119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said *ASSIGNEE* as fully and exclusively as it would have been held and enjoyed by said *ASSIGNOR* had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR also agrees that the U.S. application number may be entered above by *ASSIGNEE*

Atty Docket No.: 1575.003

or its agents upon designation of the application number by the U.S. Patent and Trademark Office.

ASSIGNOR further agrees that, without charge to said *ASSIGNEE*, but at *ASSIGNEE'S* expense, cooperate with *ASSIGNEE* in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications r Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as *ASSIGNEE* lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said *ASSIGNEE*, or *ASSIGNEE'S* successors and assigns.

IN TESTIMONY WHEREOF, *ASSIGNOR* has hereunto signed her name to this Assignment on the dates indicated below.

DATE

7/24/00
Giulia C. Kennedy

COPY

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